SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Add Chen Josep		2. Date of Event Requiring Staten (Month/Day/Year 04/30/2019	nent ¹	3. Issuer Name and Ticker or Trading Symbol <u>Kaixin Auto Holdings</u> [CMSS]							
(Last) (First) (Middle) C/O KAIXIN AUTO HOLDINGS, 5F N WING 18 JIUXIANQUIAO N ROAD CHAOYANG DIST					4. Relationship of Reporting Pers (Check all applicable) X Director Officer (give title below)		on(s) to Issue 10% Owne Other (spe below)	er cify 6	 5. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person 		
(Street) BEIJING (City)	F4 (State)	100016 (Zip)							Form filed b Reporting P	y More than One erson	
Table I - Non-Derivative Securities Beneficially Owned											
1. Title of Security (Instr. 4)					2. Amount of Securities Beneficially Owned (Instr. 4) Source form: Direct or Indirect (I) (Instr. 5)		cṫ (D) (Ir	(D) (Instr. 5)			
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)											
1. Title of Derivative Security (Instr. 4)			2. Date Exercisable and Expiration Date (Month/Day/Year)		d 3. Title and Amount of Secur Underlying Derivative Securi		y (Instr. 4) Conve or Exe		ise Form:	(Instr. 5)	
Explanation of R		Date Exercisable	Expiration Date	n Title		Amount or Number of Shares	Price of Derivativ Security				

Remarks:

Exhibit List: Exhibit 24 - Power of Attorney

No securities are beneficially owned.

<u>/s/ Cynthia Yan Liu, as</u> <u>Attorney-in-Fact</u>

05/10/2019

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Power of Attorney

Know all by these presents that the undersigned does hereby make, constitute and appoint each of Thomas Jintao Ren and Cynthia Yan Liu, or any one of them, as a true and lawful attorney-in-fact and agent of the undersigned, with full powers of substitution and revocation, for and in the name, place and stead of the undersigned (in the undersigned's individual capacity), to execute and deliver such forms that the undersigned may be required to file with the U.S. Securities and Exchange Commission as a result of the undersigned's ownership of or transactions in securities of Kaixin Auto Holdings (i) pursuant to Section 16(a) of the Securities Exchange Act of 1934, as amended, including without limitation, statements on Form 3, Form 4 and Form 5 (including any amendments thereto). The Power of Attorney shall remain in full force and effect until the undersigned is no longer required to file Forms 3, 4 and 5 with regard to the undersigned's ownership of or transactions in securities earlier revoked in writing. The undersigned acknowledges that Thomas Jintao Ren and Cynthia Yan Liu are not assuming any of the undersigned's responsibilities to comply with Section 16 of the Securities Exchange Act of 1934, as amended.

By: <u>/s/ Joseph Chen</u> Joseph Chen

Date: 05/05/2019